

AUG 19 2002 Please type a plus sign (+) inside this box → +

231

PATENT & TRADEMARK

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

N/A

Application Number

08/841,950

Filing Date

April 8, 1997

First Named Inventor

Mark D. Riggins

Group Art Unit

2131

Examiner Name

Seal, James

RECEIVED

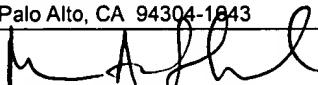
AUG 21 2002

## ENCLOSURES (check all that apply)

Technology Center 2100

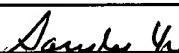
|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)                     | <input type="checkbox"/> Assignment and Recordation Cover Sheet (for an Application) | <input type="checkbox"/> Request to Correct Filing Receipt                                 |
| <input type="checkbox"/> Amendment / Response   | <input type="checkbox"/> Drawing(s) _____ Sheets                                     | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> With RCE   | <input type="checkbox"/> Licensing-related Papers                                    | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input checked="" type="checkbox"/> Return Postcard  |
| <input type="checkbox"/> Affidavits/declaration(s)  | <input type="checkbox"/> Request for Continued Examination                           | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request (in duplicate)                           | <input type="checkbox"/> Power of Attorney   | <input type="checkbox"/> Other Enclosure(s) (please identify below):                       |
| <input checked="" type="checkbox"/> 6 Reference(s)  | <input type="checkbox"/> Terminal Disclaimer   |  |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pages) | <input type="checkbox"/> Request for Refund  |  |
| <input checked="" type="checkbox"/> PTO Form 1449 (1 page)                                  | <input type="checkbox"/> CD, Number of CD(s) _____                                   |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application                  | Remarks  |  |
| <input type="checkbox"/> Declaration/Oath   |  |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Marc A. Sockol, Reg. No. 40,823<br>Squire, Sanders & Dempsey, L.L.P.<br>600 Hansen Way<br>Palo Alto, CA 94304-1843 |
| Signature               |                                 |
| Date                    | August 15, 2002  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 15, 2002

|                       |   |
|-----------------------|---|
| Typed or printed name | Sandy Yi  |
| Signature             |  |
| Date                  | August 15, 2002   |

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AUG 19 2002

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete if Known

Application Number 08/841,950

Filing Date April 8, 1997

First Named Inventor Mark D. Riggins

Examiner Name Seal, James

Group / Art Unit 2131

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AUG 21 2002

Technology Center 2100

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money  Other  None Order
 Deposit Account:

Deposit Account Number

05-0150

Deposit Account Name

Squire, Sanders &amp; Dempsey, L.L.P.

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
 

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity |
|--------------|--------------|
|--------------|--------------|

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 740      | 201      | 370      | Utility filing fee     |          |
| 106      | 330      | 206      | 165      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

| Total Claims       | - | ** | = | 0 | X | Fee from below | Fee Paid |
|--------------------|---|----|---|---|---|----------------|----------|
| Independent Claims | - | ** | = | 0 | X |                | 0        |
| Multiple Dependent |   |    |   |   | X |                | 0        |

| Large Entity | Small Entity |
|--------------|--------------|
|--------------|--------------|

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description   |
|----------|----------|----------|----------|---|
| 103      | 18       | 203      | 9        | Claims in excess of 20  |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3   |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid<br>** Reissue independent claims over original patent |
| 109      | 84       | 209      | 42       | ** Reissue claims in excess of 20 and over original patent                                  |
| 110      | 18       | 210      | 9        |   |

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity | Small Entity |
|--------------|--------------|
|--------------|--------------|

| Fee Code                  | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|---------------------------|----------|----------|----------|--|----------|
| 105                       | 130      | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 127                       | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130      | 139      | 130      | Non-English specification  |          |
| 147                       | 2,520    | 147      | 2,520    | For filing a request for reexamination                                     |          |
| 112                       | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840*   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116                       | 400      | 216      | 200      | Extension for reply within second month                                    |          |
| 117                       | 920      | 217      | 460      | Extension for reply within third month                                     |          |
| 118                       | 1,440    | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128                       | 1,960    | 228      | 980      | Extension for reply within fifth month                                     |          |
| 119                       | 320      | 219      | 160      | Notice of Appeal   |          |
| 120                       | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121                       | 280      | 221      | 140      | Request for oral hearing   |          |
| 138                       | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                       | 110      | 240      | 55       | Petition to revive – unavoidable   |          |
| 141                       | 1,280    | 241      | 640      | Petition to revive – unintentional   |          |
| 142                       | 1,280    | 242      | 640      | Utility issue fee (or reissue)   |          |
| 143                       | 460      | 243      | 230      | Design issue fee   |          |
| 144                       | 620      | 244      | 310      | Plant issue fee  |          |
| 122                       | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123                       | 50       | 123      | 50       | Processing fee under 37 CFR 1.17 (d)                                       |          |
| 126                       | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                       | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                       | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                       | 740      | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                       | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                       | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |          |          |          |  |          |

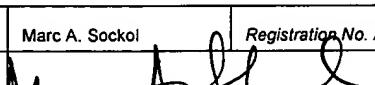
\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

## SUBMITTED BY

Complete (if applicable)

|                   |   |                                 |        |           |                 |
|-------------------|---|---------------------------------|--------|-----------|-----------------|
| Name (Print/Type) | Marc A. Sockol  | Registration No. Attorney/Agent | 40,823 | Telephone | 650.856.6500    |
| Signature         |  |                                 |        | Date      | August 15, 2002 |

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